

10/11/2007
VIA eFILE

Form PTO-1449

Applicant: Christy Cummins, et al.
Serial No.: 10/517,004
Filing Date: June 6, 2005
For: BLOOD VESSEL CLOSURE CLIP AND DELIVERY DEVICE

Sheet 1 of 17
Confirmation No.: 8672
Att'y Docket No.: 16497.124
Art Unit: 3737
3773

SUPPLEMENTAL INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
1	5,167,634	12/01/1992	Corrigan, Jr. et al.
2	5,243,857	02/28/1995	Velez
3	5,246,156	09/21/1993	Rothfuss et al.
4	5,392,978	02/28/1995	Valez et al.
5	5,413,584	05/09/1995	Schulze
6	5,423,857	06/13/1995	Rosenman et al.
7	5,676,974	10/14/1997	Valdes et al.
8	5,810,776	09/22/1998	Bacich et al.
9	5,904,697	05/18/1999	Gifford, III et al.
10	5,993,468	11/30/1999	Rygaard
11	6,030,364	02/29/2000	Durgin et al.
12	6,428,548	08/06/2002	Durgin et al.

Examiner: *Johnan M. Woo* Date Considered: *1-28-08*

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Art Unit: 3731 3773

BLOOD VESSEL CLOSURE CLIP AND DELIVERY DEVICE

Examiner <u>Initial</u> *	Document <u>Number</u>	Date	Name
✓ 13	6,645,205	11/11/2003	Ginn
14	6,669,714	12/30/2003	Coleman et al.
15	6,749,621	06/15/2004	Pantages et al.
16	6,942,674	09/13/2005	Belef et al.
17	7,001,398	02/21/2006	Carley et al.
18	7,108,709	09/19/2006	Cummins
19	7,111,768	09/26/2006	Cummins et al.
20	7,163,551	01/16/2007	Anthony et al.
21	7,211,101	05/01/2007	Carley et al
22	2001/0007077	07/05/2001	Ginn et al.
23	2001/0031972	10/18/2001	Robertson et al.
24	2001/0047180	11/29/2001	Grudem et al.
25	2002/0026208	02/28/2002	Belef
✓ 26	2002/0026215	02/28/2002	Redmond et al.

Examiner: *John W. Woo* Date Considered: *1-28-08*

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BLOOD VESSEL CLOSURE CLIP AND DELIVERY DEVICE

Examiner Initial*	Document Number	Date	Name
<u>JWW</u> 27	2002/0049427	04/25/2002	Wiener et al.
28	2002/0049472	04/25/2002	Coleman et al.
29	2002/0072768	06/13/2002	Ginn
30	2002/0077657	06/20/2002	Ginn et al.
31	2002/0082641	06/27/2002	Ginn et al.
32	2002/0107542	08/08/2002	Kanner et al.
33	2002/0133193	05/17/2002	Ginn et al.
34	2002/0151921	10/17/2002	Kanner et al.
35	2002/0193808	12/19/2002	Belef et al.
36	2003/0004543	01/02/2003	Gleeson et al.
37	2003/0009180	01/09/2003	Hinchliffe et al.
38	2003/0078598	04/24/2003	Ginn et al.
39	2003/0093096	05/15/2003	McGuckin et al.
40	2003/0097140	05/22/2003	Kanner

Examiner: Julian W. Woo Date Considered: 1-28-08

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Examiner <u>Initial</u> *	Document <u>Number</u>	Date	Name
✓ 41	2003/0109890	06/12/2003	Kanner et al.
42	2003/0125766	07/03/2003	Ding
43	2003/0158578	08/21/2003	Pantages et al.
44	2003/0195561	10/16/2003	Carley et al.
45	2004/0167570	08/26/2004	Pantages
46	2005/0090859	04/28/2005	Ravlkumar
47	RE 31,855	03/26/1985	Osborne
✓ 48	RE 34,866	02/21/1995	Kensey et al.

U.S. Patent Applications

Examiner <u>Initial</u> *	Document <u>Number</u>	Filed Date
✓ 49	09/866,551	05/25/2001
50	11/396,141	03/31/2006
51	11/675,462	02/15/2007
✓ 52	11/744,089	05/03/2007

Examiner: Julian M. Woo Date Considered: 1-28-08

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Foreign Patent Documents

Examiner Initial*	Document Number	Publication Date	Country or Patent Office
63	CA 2 339 060	02/17/2000	Canada
54	NL 9302140	07/03/1995	Netherlands
55	S 2000/0722	10/04/2001	Ireland
56	S 2000/0724	10/04/2001	Ireland
57	S 2001/0547	07/10/2002	Ireland
58	S 2001/0748	08/28/2002	Ireland
59	S 2001/0749	08/28/2002	Ireland
60	S 2001/0815	07/10/2002	Ireland
61	S 2002/0451	07/03/2003	Ireland
62	S 2002/0664	02/19/2003	Ireland
63	S 2002/0665	02/19/2003	Ireland
64	S 2003/0424	12/10/2003	Ireland
65	S 2003/0490	01/14/2004	Ireland

Examiner: *Julian W. Woo* Date Considered: *1-28-08*

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Examiner <u>Initial*</u>	Document <u>Number</u>	Publication Date	Country or <u>Patent Office</u>
66	S 2004/0368	11/30/2005	Ireland
67	S 2005/0342	11/30/2005	Ireland
68	WO 98/06448	02/19/1998	PCT
69	WO 00/06029	02/10/2000	PCT
70	WO 00/07640	02/17/2000	PCT
71	WO 00/56223	09/28/2000	PCT
72	WO 00/56227	09/28/2000	PCT
73	WO 00/56228	09/28/2000	PCT
74	WO 00/71032	11/30/2000	PCT
75	WO 01/35832	05/25/2001	PCT
76	WO 01/49186	07/12/2001	PCT
77	WO 01/91628	12/06/2001	PCT
78	WO 03/13364	02/20/2003	PCT
79	WO 03/47434	06/12/2003	PCT

Examiner: Julian W. Woo

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Examiner <u>Initial*</u>	Document <u>Number</u>	Publication Date	Country or <u>Patent Office</u>
	WO 03/71955	09/04/2003	PCT
81	WO 04/04578	01/15/2004	PCT
82	WO 04/60169	07/22/2004	PCT
83	WO 04/69054	08/19/2004	PCT
84	WO 05/115521	12/08/2005	PCT
85	WO 05/82256	09/09/2005	PCT
86	WO 06/83889	08/10/2006	PCT

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Issue Notifications, Notices of Allowance, and Office Actions

Examiner Initial*	Patent or Serial Number	Document	Mail Date
87	2002/0072768	Office Action	08/27/2004
88	2002/0072768	Office Action	02/23/2005
89	2002/0072768	Office Action	04/11/2005
90	2002/0072768	Office Action	07/27/2005
91	2002/0072768	Office Action	03/06/2006
92	2002/0072768	Office Action	05/24/2006
93	2002/0072768	Office Action	10/26/2006
94	2002/0072768	Office Action	04/19/2007
95	2002/0133193	Office Action	11/04/2004
96	2002/0133193	Office Action	05/04/2005
97	2002/0133193	Office Action	10/18/2005
98	2002/0133193	Notice of Allowance	04/18/2007
99	2002/0133193	Notice of Allowance	09/27/2007

Examiner: *Julian M. Woo*

Date Considered: *1-28-08*

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Examiner Initial*	Patent or Serial Number	Document	Mail Date
 100	2003/0078598	Office Action	02/09/2005
101	2003/0078598	Office Action	05/26/2005
102	2003/0078598	Office Action	10/04/2005
103	2003/0078598	Notice of Allowance	05/10/2006
104	2003/0078598	Notice of Allowance	07/02/2007
105	2003/0195561	Office Action	06/10/2004
106	2003/0195561	Notice of Allowance	09/21/2004
107	2003/0195561	Office Action	01/03/2006
108	2003/0195561	Issue Notification	02/15/2006
109	2003/0195561	Office Action	05/16/2006
110	2003/0195561	Notice of Allowance	12/28/2006
111	2003/0195561	Notice of Allowance	07/10/2007
112	2003/0195561	Notice of Allowance	08/02/2007
113	2004/0153123	Office Action	09/22/2006

Examiner: Julian W. Woo Date Considered: 1-28-08

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<u>Examiner Initial*</u>	<u>Patent or Serial Number</u>	<u>Document</u>	<u>Mail Date</u>
<u>JMK</u> 114	2004/0153123	Office Action	01/31/2007
115	2004/0153123	Office Action	09/18/2007
116	2004/0153122	Office Action	11/30/2005
117	2004/0153122	Office Action	08/23/2006
118	2004/0153122	Office Action	02/13/2007
119	2004/0153122	Office Action	09/12/2007
120	2004/0073255	Office Action	09/15/2006
121	2004/0073255	Office Action	04/18/2007
122	2004/0073236	Office Action	09/19/2006
123	2004/0073236	Office Action	05/02/2007
124	2004/0009289	Office Action	06/30/2006
125	2004/0009289	Office Action	10/20/2006
126	2004/0009289	Office Action	05/29/2007
127	2004/0167570	Office Action	10/30/2006

Examiner: Juhan W. Woo Date Considered: 1-28-08

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Examiner Initial*	Patent or Serial Number	Document	Mail Date
	2004/0167570	Office Action	04/17/2007
129	2004/0167570	Office Action	08/31/2007
130	2005/0274768	Office Action	10/19/2006
131	2005/0274768	Office Action	08/10/2007
132	2005/0216057	Office Action	02/06/2007
133	2005/0216057	Office Action	05/30/2007
134	2006/0135989	Office Action	11/30/2006
135	2006/0135989	Office Action	09/05/2007
136	2006/0195124	Office Action	06/06/2007
137	2006/0195123	Office Action	05/14/2007
138	6,197,042	Notice of Allowance	11/06/2000
139	6,197,042	Issue Notification	02/15/2001
140	6,277,140	Office Action	03/26/2001
141	6,277,140	Notice of Allowance	06/04/2001

Examiner:



Date Considered:

1-28-08

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<u>Examiner Initial*</u>	<u>Patent or Serial Number</u>	<u>Document</u>	<u>Mail Date</u>
<u>DMW</u> 142	6,277,140	Issue Notification	08/06/2001
143	6,391,048	Notice of Allowance	03/26/2001
144	6,391,048	Office Action	09/05/2001
145	6,391,048	Notice of Allowance	02/11/2002
146	6,391,048	Issue Notification	05/03/2002
147	6,461,364	Notice of Allowance	05/06/2002
148	6,461,364	Issue Notification	09/19/2002
149	6,582,452	Notice of Allowance	01/31/2003
150	6,582,452	Issue Notification	06/05/2003
151	6,616,686	Office Action	12/17/2002
152	6,616,686	Notice of Allowance	04/21/2003
153	6,616,686	Issue Notification	08/21/2003
154	6,623,510	Notice of Allowance	04/11/2003
155	6,623,510	Office Action	06/09/2003

Examiner: Julian M. Woo Date Considered: 1-28-08

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Examiner Initial*	Patent or Serial Number	Document	Mail Date
156	6,623,510	Issue Notification	09/04/2003
157	6,632,238	Office Action	02/26/2003
158	6,632,238	Notice of Allowance	06/16/2003
159	6,632,238	Issue Notification	09/25/2003
160	6,669,714	Office Action	03/04/2003
161	6,669,714	Notice of Allowance	07/28/2003
162	6,669,714	Issue Notification	12/11/2003
163	6,695,867	Notice of Allowance	09/29/2003
164	6,695,867	Issue Notification	02/05/2004
165	6,719,777	Office Action	02/20/1987
166	6,719,777	Notice of Allowance	07/24/1987
167	6,719,777	Issue Notification	03/25/2004
168	6,749,621	Notice of Allowance	02/09/2004
169	6,749,621	Office Action	04/13/2004

Examiner: *Julian W. Woo* Date Considered: *1-28-08*

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Examiner Initial*	Patent or Serial Number	Document	Mail Date
170	6,749,621	Issue Notification	05/27/2004
171	6,780,197	Office Action	09/11/2003
172	6,780,197	Office Action	02/09/2004
173	6,780,197	Notice of Allowance	03/17/2004
174	6,780,197	Issue Notification	08/05/2004
175	6,926,731	Office Action	11/16/2004
176	6,926,731	Notice of Allowance	04/06/2005
177	6,926,731	Issue Notification	07/20/2005
178	6,942,674	Office Action	09/29/2004
179	6,942,674	Notice of Allowance	05/13/2005
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181	7,001,398	Office Action	03/22/2005
182	7,001,398	Notice of Allowance	07/06/2005
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Examiner: *Jahsin W. Woo* Date Considered: *1-28-08*

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Examiner Initial*	Patent or Serial Number	Document	Mail Date
 84	7,001,398	Issue Notification	02/21/2006
185	7,008,435	Office Action	04/20/2005
186	7,008,435	Office Action	08/10/2005
187	7,008,435	Notice of Allowance	10/18/2005
188	7,008,435	Issue Notification	02/15/2006
189	7,108,709	Office Action	07/27/2004
190	7,108,709	Office Action	12/17/2004
191	7,108,709	Notice of Allowance	03/09/2005
192	7,108,709	Office Action	08/11/2006
193	7,108,709	Issue Notification	08/30/2006
194	7,111,768	Office Action	02/23/2006
195	7,111,768	Notice of Allowance	05/31/2006
196	7,111,768	Issue Notification	09/06/2006
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Examiner: Juhani W. Woo Date Considered: 1-28-08

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<u>DWW</u> 198	7,163,551	Notice of Allowance	09/20/2006
199	7,163,551	Issue Notification	12/27/2006
200	7,211,101	Office Action	08/10/2005
201	7,211,101	Office Action	12/19/2005
202	7,211,101	Office Action	04/21/2006
203	7,211,101	Notice of Allowance	12/27/2006
204	7,211,101	Issue Notification	04/11/2007

Examiner: Julian W. Woo Date Considered: 1-28-08

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References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

Examiner: *Fahran W. Woo* Date Considered: *1-28-08*

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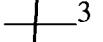
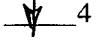
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SUPPLEMENTAL INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

U.S. Patent Documents

<u>Examiner Initial*</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>
 1	5,092,941	03/03/1992	Miura
 2	5,335,680	08/09/1994	Moore
 3	5,540,712	07/30/1996	Kleshinski, et al.
 4	5,858,082	01/12/1999	Cruz, et al.

Issue Notifications, Notices of Allowance, and Office Actions

<u>Examiner Initial*</u>	<u>Patent or Serial Number</u>	<u>Document</u>	<u>Mail Date</u>
 5	2006/0144479	Office Action	10/16/2007

Other Documents

(including author, title, pertinent pages, etc.)

Examiner Initial*

 6

Stretch Comb by Scunci, retrieved via internet at
www.scunci.com/productdetail by examiner on October 9, 2007, publication
date unavailable.

Examiner:

 J. Michael Moore

Date Considered: 1-28-08

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

VIA eFILE
Form PTO-1449

Applicant: Christy Cummins, et al.
Serial No.: 10/517,004
Filing Date: June 6, 2005
For: BLOOD VESSEL CLOSURE CLIP AND DELIVERY DEVICE

Sheet 2 of 2
Confirmation No.: 8672
Att'y Docket No.: 16497.124
Art Unit: 3221
3773

References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

Examiners will consider all citations submitted in conformance with 37 C.F.R. § 1.98 and MPEP Sec. 609 and place their initials adjacent the citations in the spaces provided on this form. Examiners will also initial citations not in conformance with the guidelines which may have been considered. A reference may be considered by the Examiner for any reason whether or not the citation is in full conformance with the guidelines. A line will be drawn through a citation if it is not in conformance with the guidelines AND has not been considered. A copy of the submitted form, as reviewed by the Examiner, will be returned to the applicant with the next communication. The original of the form will be entered into the application file.

Each citation initialed by the Examiner will be printed on the issued patent in the same manner as references cited by the Examiner on Form PTO-892.

The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

Examiner: *Julian M. Woo* Date Considered: *1-28-08*

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.